

CITY OF ATLANTA

OFFICE OF CONTRACT COMPLIANCE 55 TRINITY AVENUE, SW, SUITE 1700 ATLANTA, GEORGIA, 30303 OFFICE (404) 330-6010 FAX (404) 658-7359

THE EQUAL BUSINESS OPPORTUNITY PROGRAM CERTIFICATION AFFIDAVIT

CORPORATION

Dear Prospective Minority, Female Business Enterprise Applicant:

Thank you for your interest in becoming a certified participant in the City of Atlanta Equal Business Opportunity (EBO) Program as an African American Business Enterprise (AABE), a Female Business Enterprise (FBE), a Hispanic Business Enterprise (HBE), an Asian Business Enterprise (ABE) or a Native American Business Enterprise (NABE).

ALL questions on the certification application must be answered completely and ALL requested documentation must accompany the application. Submit the completed application and documentation to the Office of Contract Compliance. Failure to complete portions of the application and provide the required documentation will delay the certification process or result in denial of certification.

The information on the application must be true and accurate to the best of the applicant's knowledge. The application must be signed and notarized. The information requested is for use by the Office of Contract Compliance only and will be kept confidential to the extent allowable by law.

Your business must be located within one of the following ten county areas to be considered for certification in the City of Atlanta Equal Business Opportunity Program. The ten county area includes: Cherokee, Clayton, Cobb, DeKalb, Douglas, Fayette, Fulton, Henry, Gwinnett, and Rockdale counties. Businesses from throughout the United States will be considered for certification as Disadvantaged Business Enterprises with the City of Atlanta DBE Program.

If your company is denied certification, you have the right to appeal the decision in accordance with the City of Atlanta Code of Ordinances §2-1456.

If you have any questions please contact the Office of Contract Compliance at (404) 330-6010.

DOCUMENTS TO BE SUBMITTED

Required Documents for All Applicants:
1. Bank Signature Card.
2. Proof of Minority or Female Status (birth certificate with Picture I.D. or Passport).
3. Copy of current Business License which shows that company is located in one of the
following
10 counties; Cherokee, Clayton, Cobb, DeKalb, Douglas, Fayette, Fulton, Henry
Gwinnett,
Rockdale.
4. Current Resume of all principals of company showing Education, Training, Employment experience with dates.
5. Provide copy of the lease, rental, or management agreement for business premises,
including local business telephone number.
6. Organizational Chart
A. Additional Requirements for a Corporation
1. Previous two years Federal Corporate Tax returns including all schedules.
2. Certificate of Incorporation, and Articles of Incorporation, including Amendments.
3. Minutes of First Corporate Organizational meeting .
4. Minutes of any subsequent meeting during which changes in the ownership and/or
management of corporation are discussed.
5. Corporate By-Laws.
6. Copy of all stock certificates issued to date (include front and back sides of any canceled
or replaced certificates. (do not include a specimen copy.)
7. Stock-ledger.
8. If you are incorporated outside the State of Georgia, include a copy of the firms
Certificate of Authority to conduct business in the State of Georgia.
B. Additional Requirements for a General Partnership
1. Previous two years Federal Partnership Tax returns, Form 1065, including all
schedules
2. Partnership Agreement and Amendments which reflect change in ownership or profit
sharing.
3. Buy-out rights agreement (if separate).
4. Profit Sharing agreement (if separate).
5. Proof of capital invested (canceled checks, front and back).
6. If Partnership was organized outside the State of Georgia, provide Certificate
of Authority to do business in Georgia.
C. Additional Requirements for a Limited Partnership
1. Previous Two years Federal Partnership Tax returns, Form 1065, including all
schedules.
2. Partnership Agreement and Amendments which reflect change in ownership or profit
sharing.
3. Buy-out rights agreement (if separate)
4. Profit Sharing agreement (if separate)
5. Proof of capital invested (canceled checks, front and back).
6. Certificate of Limited Partnership
7. Certificate of Existence.
8. If Limited Partnership was organized outside the State of Georgia, provide

certificate of authority to do business in Georgia.

D. Additional Requirements for a Sole Proprietor
1. Previous two years Federal Tax returns including all schedules.
2. Equipment rental and purchase agreement (if applicable).
3. Proof of capital invested (canceled checks, front and back).
E. Additional Requirements for a Limited Liability Company
l. Copy of the Article of Organization and the Certification of Organization.
2. Copy of the Statement of Organizers.
3. Copy of the Operation Agreement and all Amendments thereof.
4. Proof of capital invested (canceled checks, front and back).
5. Prior two years of Federal Tax Returns of Limited Liability Company, including all
schedules.
6. If Limited Liability Company was organized outside the State of Georgia, provide
certificate of authority to do business in Georgia
7. Certificate of Existence.
8. If LLC is a conversion of another form of business - include Certificate of Election from
Georgia Secretary of State.

The EBO Affidavit and all supporting documents must be submitted together. All supporting documents relevant to your legal form of business enterprise (corporation, general partnership, limited partnership, sole proprietor or limited liability company) must also be submitted with the EBO Affidavit. Failure to submit all the required documentation will result in a delay in the processing or denial of certification of your business.

Please submit all completed documents bound with alphabetized tabs to:

City of Atlanta Office of Contract Compliance 55 Trinity Avenue, SW, Suite 1700 Atlanta, Georgia 30303-0321

Accounting

Advertising/Marketing

Airport Services Architecture

Asbestos Abatement

Attorneys

Audio Visual Services/Audio Visual Supplies

Automotive Sales/Supplies/Services

Background Investigation

Banners/Tents Bridges/Tunnels Business Consultant Cable Services

CADD Carpentry Catering Chemicals

City Planning/Urban Design

Computer Services Computer Supplies Concessions

Concrete/Paving

Construction Management

Construction Steel Construction Supplies

Counseling

Courier/Mailing Services

Data Processing Debt Collection Demolition Development Drywall

Educational Services
Electrical Contracting
Electrical Supplies
Elevator Services
Employment Services

Engineering

Environmental Consultant Equipment Supplies Erosion Control

Excavation

Facilities Management

Fencing

Film Production Financial Services Fire Protection Flooring Food Supplies

Food Supplies Gas/Oil

General Construction/General Contracting

Geotechnical Engineering

Glass Services Goods Supplies

Grading Hauling

Hazardous Material Management/Removal

Healthcare Services Heavy Construction

HVAC Hydraulics Insulation

Insurance/Bonding
Interior Construction
Interior Design
Janitorial Services
Janitorial Supplies
Landscaping
Mapping
Masonry

Medical Supplies

Moving/Storage Services

Noise Abatement

Office Furniture/Office Supplies

Painting/Wall Covering Parking Management

Pest Control
Photography
Pipelaying/Piping

Plumbing

Pressure Cleaning

Printing/Graphics/Publishing

Professional Training

Promotions

Property Management
Public Relations
Real Estate
Recycling
Renovations
Retail Food

Retail Goods & Services

Roofing

Security Services

Signage

Special Event Planning

Stenography/Court Reporting Telecommunication Services

Towing Services Traffic Control

Transportation Services

Trash Removal Uniforms

Utilities Construction

Valet Parking

Vehicle Cleaning Vending Water Meter Service/Repair

Water/Sewer Welding

As of November 13, 2002

EQUAL BUSINESS OPPORTUNITY (EBO) CERTIFICATION AFFIDAVIT FOR

Name of Enterprise				
City of Atlanta Project Pending?	yes	no	Bid Due Date:	
FC#	Name of	Project:		

The information supplied herein by an authorized individual shall clearly identify and evidence the extent of minority and/or female ownership and control of this business enterprise.

All required supporting documents must be included, along with the signature of the authorized persons affixed where ever requested. This EBO Affidavit must be signed and notarized prior to evaluation by the Office of Contract Compliance.

*Note: All items on this EBO Affidavit must be completed and submitted to the Office of Contract Compliance at the same time.

Definitions:

City of Atlanta Ordinance Section 2-1443 sets out the definitions for "African American", "African American Business Enterprise" (AABE), "Asian American Business Enterprise" (ABE), "Bid", "Bidder", "Commercially Useful Function", "Controlled", "Eligible Project", "Female Business Enterprise", (FBE), "Hispanic Business Enterprise" (HBE), "Joint Venture", "Native American Business Enterprise", (NABE), "Minority Business Enterprise", (MBE).

"Minority Business Enterprise (MBE)": a business which is an independent and continuing operation for profit, performing a commercially useful function and which is owned and controlled by one or more minority group members, as defined in Section 2-1356, which group has been determined to have suffered discrimination requiring amelioration as defined in Section 2-1445(23), (24) and is certified as such by the city.

"Owned": the minority or female owner, shall possess an ownership interest of at least 51 percent of the business; such ownership shall be real and continuing and shall go beyond the mere indicia of ownership of the business reflected in the ownership documents; and the minority or female owner shall enjoy the customary incidents of ownership and shall share in the risks and profits commensurate with their ownership interests, as demonstrated by an examination of the substance, rather than the form of ownership arrangements.

"Controlled": the minority or female shall possess and exercise the legal authority and power to manage business assets, good will and daily operations of the business; and actively and continuously exercise such managerial authority and power in determining the policies an directing the operations of the business.

APPLICANT IS APPLYING FOR CERTIFICATION AS: African American Business Enterprise(AABE) _Corporation Female Business Enterprise (FBE) _Partnership **Hispanic Business Enterprise (HBE)** Sole **Proprietor Asian Business Enterprise (ABE)** Limited **Partnership** Native American Business Enterprise (NABE) Limited Liability Co. Select from the business categories on the list included with this packet, up to three (3) specific areas under which your business should be listed in the City of Atlanta's EQUAL **BUSINESS OPPORTUNITY REGISTER**

In an effort to become certified for participation in the City of Atlanta's EQUAL BUSINESS OPPORTUNITY PROGRAM, affiant/applicant offers the following information as evidence of its qualifications:

1.

The name of the princi	pal, owner, partner	, or corporate officer	is:
		Title:	
The mailing address is	:		
City:	County:	State:	Zip:
Telephone: ()		Fax()	
Pager: ()		Mobile #: ()
Email Address:			
		2.	
A. Is the principal, ow	ener a citizen of the	United States?ye	esno
B. If NO, is the princip		permanent resident o yesno	
		3.	
A. Previous certification		n M/FBE with the Cit	

В.	Previous certification or approval as an M/FBE with any other governmental agency?yesno
res	If you answered YES to any of the above questions, please provide a copy of the spective certifications, approval letters or certificates and attach them to this EBO FFIDAVIT.
D.	Denial of certification as an M/FBE by any governmental agency?
E.	yesno If YES, submit copy of denial document.
F.	Has there been participation and involvement by any of the principals in another firm wherein there has been a challenge, appeal or suspension of M/FBE certification by the City of Atlanta or any other governmental entity?
	yesno
G.	If YES describe the following: (a) the name of the enterprise, (b) the name of the principal, (c) whether the action was a suspension, (d) whether the enterprise filed a formal appeal, (e) the Name of the governmental agency (including phone number) and (f) the current status of the challenge, appeal and/or suspension is:
	4.
	re there any licenses or accreditation's required to engage in the business of your terprise?yesno
TY	PE ISSUED TO ISSUED BY DATE ISSUED

				1		
		5.				
	arted, formed and/o _in the following ma		esent owners on			
Bough	Bought as existing businessStarted as new business					
Secure	ed Franchise	Merg	er or consolidation			
Other Manner; explain						
				_		
				_		
		6.				
If the business previously operated under another name, please provide the previous name and address of the enterprise:						

Are the owners, partners or principals of the enterprise affiliated with any other firm(s) a employees, shareholders, directors, members, or owners?						
employees, snare	enoiders, directors, m	embers, or owners? yes	no			
If YES, they are:						
Name of Person affiliated with another firm	Person's title at affiliated firm.	Name of affiliated firm.	Affiliated Firm Telephone Number			
		8.				
	t of moneys and all it s and/or spouse(s) o	· ·	red to the enterprise by any ar principals:			
Title/Name	Reason for Debt	Amount of Debt	Date Issued/Due			

9.

The total amount of moneys and all items of any value which the enterprise <u>owes to any</u> <u>shareholder, partner, principal, officer or member</u> of the applicant enterprise or any spouse or sibling of the applicant enterprise.

Title/Name	Reason for the Debt	Date Issued/Due

10.

The assets of the applicant/business, including real estate holdings, trade equipment, office furnishings and office equipment include:

Description of Asset	Real Dollar Value	Type of Lien/Encumbrance upon the Property

11.

The name, title, sex and ethnic groups of the individuals of the business enterprise most responsible for:

Function	Name	Title	Sex	Ethnic Group
Determining				
what jobs the				
enterprise will				
undertake				
Project				
supervision				
Major				
Expenditures				
Hiring/Firing				
Personnel				
Preparing Job				
Estimates				

Submitting		
Quotations		
Reviewing Plans		
and/or		
Specifications		
Field Supervision		
Project		
Coordination		
Equipment		
Rental		
Leasing		
Purchasing of		
Equip. and		
Supplies		
Marketing and		
Sales		
Securing		
Insurance		
Securing		
Bonding		
Securing		
Employee		
Benefits		
Signing Surety		
Bonds		
Signing Payroll		
Checks		

							is a CO	RPO	RATION,	(Name of
Business E whose "Ce		-	-	cion" was issue e most recent		y the Ge	eorgia S	ecre	tary of State	e on
the same h	as be	en app		y submitted.		0			11 1	
				1	l 3.					
How many	y shar			uthorized to l				Artio	cles of Inco	rporation?
How many	y shar	es hav	e been iss	sued as of the	date	of this	applica	tion?	<u> </u>	_
Are there J	olans	to issu	e additioi	nal shares?			yes		no	
				1	l 4.					
The Sharel	nolde	rs of th	e Corpor	ation are:						
Share Holders	Ethn		Age	Class of Stock		f Shares of Whole	Amoun Paid	t	Date of Purchase	
	•			1	15.		1		,	
A. The Me	embei	s of th	e Board o	f Directors of	the	Corpora	ation ar	e:		
Name		Ethnic Sex	Group/	Home Address Telephone #		Date of Appointn	nent	Tern App	n of ointment	

Name	Ethnic Group/ Sex	Home Address, Telephone #	Date of Appointment	Term of Appointment

B. Does each d	irector have an e	qual vote on all yes	matters brough no	t before the Board?
If NO, the man	ner in which dire	ectors' votes are o	counted and cre	dited.
		16.		
	bers of the Board			Term of
Name	Ethnic Group/ Sex	Home Address, Telephone #	Date of Appointment	Appointment
		17.		
The Officers of	the Corporation	are:		
Office Held/ Name of Officer	Ethnic Group Sex	Home address Telephone #	Date of Appointment	Term of Appointment

Office Held/ Name of Officer	Ethnic Group Sex	Home address Telephone #	Date of Appointment	Term of Appointment
Trume of Officer	SCA		rippointment	rippointment

What persons, firms, or entities have currently loaned monies to the corporation?

Source	Amount	Reason for Loan	Conditions/Terms
		19.	
Is your company	bonded?	yes	no
If YES, then list t	the current bonding	g company, bonding lij	mit, amount of any Letter of
		on and attach a copy of	
Bonding Co./ Address	Bond Limit	Issuing Bank	Dollar Value of Letters of Credit

20.

The Corporation's primary banking institution is:

Name of Bank	Address/City	Contact Person	Checking Acct.#

A list of the annual salaries, bonuses and commissions of all corporate officers and other principles during the past 12 months (rounded to the thousands) is as follows:

Name	Title	Salary	Bonus	Comm.	Deferred Comm.	Total

If no salaries, b	onuses,	or commissions	have been	paid in	the last	12 months,	please p	provide
a brief explana	tion:							

22.

Equipment rented, leased or owned by the Corporation for business purposes is as follows:

Equipment Type	Rented/Lease Own	Name of Lessor	Lessor's Phone #	Initial and End of Contract
Туре	OWII	Lesson	Thone #	of Contract

23.

The following persons, firms or entities contributed equipment, finances or personnel to the Corporation:

Name of Firm	Address/City	Telephone #	Amount and type of support supplied

Does the Corporation share space with another enterprise?_____Yes______No

If YES:

Name of other firm	Address	Type of Space	Relationship to Applicant/ Principle

	25.		
A. Two (2) current customer	rs of the Corporation are:		
Customer	Address/City	Telepho	one #
Description of Work Perform	ned:		
Customer	Address/City	Telepho	one #
Description of Work Perform	ned:		
	26.		
The Applicant Enterprise,		h	as
1)	Name of Enterprise)		
performed as a PRIME CON to the following firms within			SUBCONTRACT work
Subcontractor Firm Address	s/City Telephone #	Date of	Contract

The Applicant Enterprise	2,	has				
	(Name of Enterp	rise)				
performed as a SUBCON following PRIME CONT		n the applicant's work was p	performed for the			
Prime Contractor		Telephone # Date of	Contract			
BUSINESS OPPORTUN which have been provide accurate, complete and	TITY CERTIFICATI ed in support of the includes all inform	m that the statements conta ON AFFIDAVIT and all e foregoing application for c mation necessary to identi	attachments hereir ertification are true			
which have been provide	ed in support of the includes all inform	e foregoing application for c	ertification are tr			

Name of Business Enterprise

Further, the undersigned does covenant and agree to provide the City of Atlanta's Office of Contract Compliance with current, complete and accurate information regarding this Affidavit, its attachments or any other information deemed reasonably relevant to any project or contract issued by the City of Atlanta. The undersigned further agrees that as part of this certification procedure, OCC may freely contact any person or organization named in this application to verify statements made in this application and/or to secure additional information or data required to grant to or withhold from the applicant enterprise certification as a Minority-owned Business Enterprise or a Female Business Enterprise. The undersigned understands and agrees that failure to submit required materials and/or to consent to interview(s); audit(s); and/or examination(s) will be grounds for immediate rejection of this application for certification or re-certification. It is recognized and acknowledged that the statements contained in this application are being given under oath and that any material misrepresentation shall be construed and deemed to be subject to Section 17-11012 of the City of Atlanta's Criminal code of Ordinances in addition to being grounds for denial of certification or for de-certification and may result in

the denial of an award or the termination of contract which may have been awarded as a result of the information contained in this application.

The undersigned further acknowledges that information contained in this application may be shared with any public department or agency so long as the sharing of such information is in reasonable furtherance of the OCC investigation. It is further understood that certification will be revoked if after proper investigation by OCC, the applicant is determined to be engaging in activities which circumvent the intent of the EBO Program.

PROHIBITIONS AGAINST FALSE AND FRAUDULENT REPRESENTATIONS TO THE CITY

Pursuant to Atlanta City Code Section 106-90, it shall be unlawful for any person, knowingly and willfully and with intent thereby to mislead either on such person's own behalf or on behalf of others, as principal or agent, to make or file orally or in writing any false representations of fact to any department of City government. The City will impose applicable penalties and sanctions against any person making such false representation in connection with the City's Equal Business Opportunity Program. In addition, the City will seek all available remedies under Georgia and Federal statutes against any person who knowingly, willfully or fraudulently attempts to obtain certification as a minority or female business enterprise.

ATTESTATION: I CERTIFY THAT ALL REPRESENTATIONS IN THIS CONTRACT EMPLOYMENT REPORT ARE CORRECT AS OF THE DATE STATED. THE UNDERSIGNED FURTHER ACKNOWLEDGES THAT CERTIFICATION IS NORMALLY REVIEWED EVERY TWO YEARS, HOWEVER, THE OFFICE OF CONTRACT COMPLIANCE RETAINS THE RIGHT TO RE-EVALUATE THE CONTENTS OF THIS APPLICATION AT ANYTIME. THE UNDERSIGNED ALSO SWEARS OR AFFIRMS THAT THE COPIES OF THE RECORDS WHICH ARE ATTACHED HERETO AND IDENTIFIED WITH ALPHABETIZED TABS ARE TRUE AND CORRECT COPIES OF THE BUSINESS RECORDS AS MAINTAINED BY THE UNDERSIGNED ON BEHALF OF

(Name of Enterprise)	

any time.	
Name of Person Signing: (Print)	
Title of Person Signing: (Print)	
Signature: (Must match name of person signing)	

The undersigned further acknowledges that certification is normally reviewed every

two years; however, OCC retains the right to re-evaluate the contents of this application at

Notary Public (Must exhibit seal and stamp to be acceptable)

CITY OF ATLANTA Contract Employment Report

PLEASE TYPE OR PRINT IN INK. EACH APPLICABLE ITIEM ON THIS FORM MUST BE COMPLETED. *INCOMPLETE FORMS WILL NOT BE PROCESSED.*

NAME OF FIRM: No	TELEPHONE	
NAME OF OWNER:	FAX NO	
MAILING ADDRESS:	<i>CITY:</i>	
STATE:CO	OUNTY:	ZIP CODE:
PLEASE COMPLETE THE FOLLOW	VING INFORMATION	
WHAT TYPE OF BUSINESS WOULI	O YOUR COMPANY BE ENGA	GED IN WITH THE CITY OF ATLANTA?
IS YOUR COMPANY AN AFFILIAT		COMPANY?
IF YOUR COMPANY IS A DIVISION	N OF A PARENT COMPANY, A	CONTRACT EMPLOYMENT REPORT FORM AS THE ATLANTA AREA DIVISION.
HAS YOUR COMPANY PREVIOUSI	LY RECEIVED AN EEO CERTII	FICTION FROM THE CITY OF ATLANTA?

PLEASE LIST THE NUMBER OF EMPLOYEES IN EACH CATEGORY

	Management/ Officials		Professionals Arch, Engineers, etc		Supervisors		Office/Clerical/Sal es		Craftsmen/Labore rs	
	Male	Female	Male	Female	Male	Female	Male	Female	Male	Female
Black										
White										
Asian American										
Native American										
Hispanic										
Other										
TOTAL										

101112								
I CERTIFY THAT A		THIS CO	NTRACT	EMPLOY	MENT REI	PORT FOR	M ARE	